



## SOCIAL SECURITY

The Commissioner

May 13, 2011

The Honorable Dennis Rehberg  
Chairman, Subcommittee on Labor, Health, and Human Services, Education, and Related  
Agencies  
Committee on Appropriations  
House of Representatives  
Washington, D.C. 20515

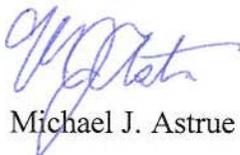
Dear Mr. Chairman:

This letter transmits the Operating Plan for the Social Security Administration (SSA), as required by Section 1863 of the Full-Year Continuing Appropriations Act, 2011 (Public Law (P.L.) 112-10). The enclosed plan provides a comparison of the fiscal year (FY) 2011 President's budget to the FY 2011 enacted appropriation for each of SSA's accounts.

The final page of the plan displays the workload and performance measures as shown in the Justification of Estimates for the committees, including actual experience in FY 2010 and an update of FY 2011 commitments to incorporate enacted funding levels and the most recent workload estimates. The commitments shown in the FY 2011 enacted column are amounts appropriated under P.L. 112-10 and additional funding apportioned from the resources appropriated under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5) for the administrative costs associated with issuing economic recovery payments and the acquisition of a new data center.

We will be glad to work with your staff to answer any questions you may have about this Operating Plan. We are sending similar letters to Mr. Rogers, Mr. Dicks, and Ms. DeLauro and to Senators Inouye, Cochran, Harkin, and Shelby. If there are any questions, please have your staff contact Bonnie Kind, Associate Commissioner for the Office of Budget, at (410) 965-3501.

Sincerely,



Michael J. Astrue

Enclosure

**SSA FY 2011 Operating Plan**  
**(Appropriated FY 2011 Funds and Carryover/Transfers**  
**of Unobligated Prior-Year Funds)**  
(\$ in millions)

<b><u>Budget Authority:</u></b>	<b><u>FY 2011 President's Budget</u></b>	<b><u>FY 2011 Available Funding</u></b>
<b><u>Payments to the Social Security Trust Funds (PTF)</u></b>		
Pension Reform.....	\$6.4	\$6.4
Unnegotiated Checks.....	14.0	14.0
Special Benefits for Certain Uninsured Persons.....	0.0 <sup>1/</sup>	0.0 <sup>1/</sup>
Military Service Wage Credits.....	1.0	1.0 <sup>2/</sup>
Total Appropriation (P.L. 112-10).....	\$21.4	\$21.4
<b><u>Supplemental Security Income (SSI)</u></b>		
FY 2011 Benefit Payments, Total.....	\$52,635.0	\$52,387.0
- FY 2011 First Quarter Advance (P.L. 111-117).....	16,000.0	16,000.0
- FY 2011 Appropriation (P.L. 112-10).....	36,635.0	36,387.0
Administrative Expenses.....	3,775.0	3,486.3
Beneficiary Services.....	60.0	40.0 <sup>3/</sup>
Research and Demonstration (R&D) Projects.....	43.0	38.5 <sup>3/</sup>
Total Appropriations (P.L. 111-117 and P.L. 112-10).....	\$56,513.0	\$55,951.8
Carryover of Unobligated FY 2010 Balances and Actual Recoveries:		
- Benefits.....	\$0.0	\$2.3
- Administrative Expenses.....	66.0	207.7
- Beneficiary Services.....	26.0	0.0
- R&D.....	29.0	0.0
Total, Carryover of Unobligated FY 2010 Balances and Actual Recoveries.....	\$121.0	\$210.0 <sup>3/</sup>
Total Funds Available for FY 2011.....	\$56,634.0	\$56,161.8
<b><u>American Recovery and Reinvestment Act <sup>4/</sup></u></b>		
Economic Recovery Payments (ERP) (P.L. 111-5) .....	N/A <sup>5/</sup>	\$30.0

<sup>1/</sup> Requested and appropriated amount is \$4,000.

<sup>2/</sup> The latest estimate for Military Service Wage Credits does not require a payment to the trust funds in FY 2011. As a result, the \$1 million appropriated for FY 2011 will lapse at the end of FY 2011.

<sup>3/</sup> The amounts shown in the available funding column represent funds needed to cover estimated FY 2011 SSI costs. The remaining unobligated balances will be applied to future SSI costs.

<sup>4/</sup> Available funding for ARRA administrative costs are shown on Page 2.

<sup>5/</sup> ERP payments are funded from indefinite authority and were not included in the President's Budget for FY 2011.

	<b>FY 2011 President's Budget</b>	<b>FY 2011 Available Funding</b>
<b><u>Limitation on Administrative Expenses (LAE)</u></b>		
Regular LAE.....	\$11,392.7 <sup>1/</sup>	\$10,479.2 <sup>1/</sup>
Base CDRs/Redeterminations.....	283.0	272.5
Additional CDRs/Redeterminations.....	503.0	474.0
Access to Financial Institutions.....	10.0	10.0
SSA Advisory Board.....	2.3	2.3
SSI User Fees.....	185.0	185.6
Non-Attorney Certification User Fee.....	0.5	0.0
Acquisition Workforce Capacity and Capabilities.....	1.9	0.0
Total Appropriated (P.L. 112-10).....	<u>\$12,378.4</u>	<u>\$11,423.6</u>
American Recovery and Reinvestment Act (P.L. 111-5):		
Economic Recovery Payment - Admin.....	42.1 <sup>2/</sup>	2.4
NCC Replacement.....	30.0	387.7 <sup>3/</sup>
Total, Recovery Act Funding.....	<u>\$72.1</u>	<u>\$390.1</u>
Medicare Improvement for Patients and Providers Act (P.L. 110-275):		
Low Income Subsidy.....	\$0.0	\$13.7
Other Available Funds:		
<u>Automation Investment Fund (AIF) (No-Year)</u>		
- Carryover/Recoveries from Unobligated AIF Balances.....	\$0.0	\$2.4
<u>Information Technology Systems (ITS) (No-Year)</u>		
- Carryover/Recoveries from Unobligated ITS Balances.....	\$205.0 <sup>4/</sup>	\$405.4 <sup>5/</sup>
Carryover/Recoveries of Other Unobligated Balances.....	\$0.0	\$24.4 <sup>6/</sup>
<b><u>Office of the Inspector General, SSA</u></b>		
Federal Funds.....	\$30.0	\$28.9
Trust Funds.....	76.1	73.5
Total Appropriated (P.L. 112-10).....	<u>\$106.1</u>	<u>\$102.4</u>
American Recovery and Reinvestment Act (P.L. 111-5):		
Oversight and audit.....	\$0.5	\$0.5

<sup>1/</sup> Excludes funding for base CDRs and Redeterminations.

<sup>2/</sup> The President's Budget was completed before the rescission of \$47M from ERP-Admin. funds under P.L. 111-226.

<sup>3/</sup> The amounts shown in the available funding column represent funds needed to cover estimated FY 2011 NCC replacement costs. The remaining unobligated balances will be applied to future NCC replacement costs.

<sup>4/</sup> The amount shown was increased to \$280M during the formulation of the FY11 column of the FY12 President's Budget.

<sup>5/</sup> Reflects rescissions of \$200M under P.L. 112-6 and \$75M under P.L. 112-10.

<sup>6/</sup> Includes authority from prior years carried over for the following purposes: \$23.0M for operation and maintenance of specific facilities delegated to SSA by the General Services Administration, \$1.4M for construction and \$26K for Disaster Relief.

	<b>FY 2011 President's Budget</b>	<b>FY 2011 Current Estimate</b>
<b><u>Estimated Outlays:</u></b>		
PTF.....	\$21	\$20
LAE.....	\$12,279	\$11,657
SSI.....	\$56,540	\$56,238
OIG.....	\$107	\$103 <sup>1/</sup>
Economic Recovery Act Payment.....	\$45	\$45

<sup>1/</sup> Includes \$1M in ARRA funding.

**Social Security Administration  
Workload and Outcome Measures**

Workload and Outcome Measures	FY 2010		FY 2011		
	Enacted Appropriation	Actual	President's Budget	FY 11 Column of FY 12 PB	Enacted Appropriation
<b>SELECTED OUTCOME MEASURES</b>					
Initial Disability Claims Pending (thousands)	1,041	842	999	709	845
SSA Hearings Pending (thousands)	707	705	657	668	725
Average Processing Time for Initial Disability Claims (days)	132	111	141	110	118
Average Processing Time for Hearing Decisions (days)	485	426	460	373	365
Disability Decisions, Per Worker Per Year	268	273	275	275	275
SSA Hearing Decisions, Per Worker Per Year	108	105	108	107	107
Disability Determination Services Accuracy Rate	97%	98%	97%	97%	97%
<b>SELECTED WORKLOAD MEASURES</b>					
800 Number Transactions Handled (millions)	64	68	66	70	60
Retirement and Survivors Claims Processed (thousands)	4,718	4,701	4,590	4,590	4,590
Initial Disability Claims Processed (thousands)	3,081	3,161	3,317	3,409	3,273
SSA Hearings Processed (thousands)	725	738	799	815	815
Periodic Continuing Disability Reviews (CDRs) Processed (thousands)	954	956	1,388	1,388	1,357
Periodic Medical CDRs (included above)	329	325	360	360	329
Supplemental Security Income (SSI) Non-Disability Redeterminations Processed (thousands)	2,422	2,466	2,422	2,422	2,422
Social Security Statements Issued (millions)	153	152	154	154	74
Annual Earnings Items Processed (millions)	238	240	245	238	238
Social Security Numbers Issued (millions)	18	17	19	18	18